



3763

|  |                                 |  |                           |
|--|---------------------------------|--|---------------------------|
| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97 (b) or 1.97(c))   |                                 |  | Docket No.<br>001/001 USA |
| In Re Application Of: LUO et al.   |                                 |  |                           |
| Serial No.<br>09/456,110   | Filing Date<br>December 7, 1999 | Examiner<br>Hayes  | Group Art Unit<br>3763    |
| Title: METHOD AND SYSTEM FOR TREATING STROKE USING HYPOTHERMIA   |                                 |  |                           |
| Address to:<br>Assistant Commissioner for Patents<br>Washington, D.C. 20231  |                                 |  |                           |
| This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.<br>Check applicable statement herebelow:   |                                 |  |                           |
| <b>37 CFR 1.97(e)(1)</b>   |                                 |  |                           |
| <input checked="" type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.   |                                 |  |                           |
| <b>37 CFR 1.97(e)(2)</b>   |                                 |  |                           |
| <input type="checkbox"/> No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement. |                                 |  |                           |
| <br>Signature   |                                 | Dated: 3/15/02<br>7600 1670 0009 0884 1681   |                           |
| Arlyn L. Alonzo, Esq. (Reg. No. 44, 502)<br>15770 Laguna Canyon Road, Suite 150<br>Irvine, California 92618<br>(949) 453-0150, extension 146   |                                 | <b>Certificate of Mailing by First Class Mail</b><br>I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 CFR 1.8 on the date shown below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.<br>March 15, 2002<br>(Date)<br>Kamir Leonard<br>Signature of Person Mailing Correspondence<br>Kamir Leonard<br>Name of Person Mailing Certificate |                           |
| <br>29554<br>PATENT TRADEMARK OFFICE  |                                 |  |                           |

PTO  
MAR 25 2002  
PATENT & TRADEMARK OFFICE

RECEIVED  
APR - 3 2002  
TECHNOLOGY CENTER R3700

#15  
Hayes  
4/10/02